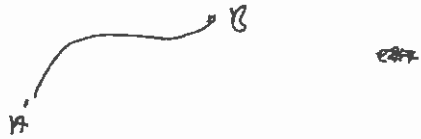


Section 17

1. (a) Fermat principle - principle of least time.

A ray follows the path from a point A to a point B that takes the least time.

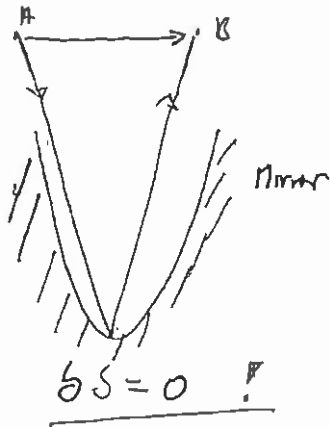
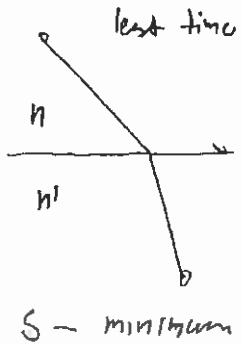


The more accurate/math form of Fermat principle

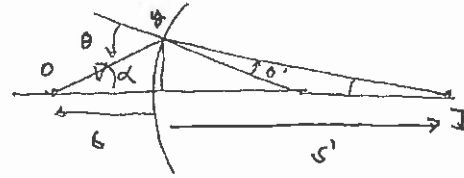
is that  $S(A, B) = \int_A^B n(x) dx$  - is optimal,

i.e. that  $\delta S = \delta \int_A^B n(x) dx = 0$

Examples



1 b)



In deriving eqn. (17.1), several approximations are performed:

~~17.1~~  $n \sin \theta = n' \sin \theta' \rightarrow n \theta \approx n' \theta'$ ,  
i.e. the paraxial form of Snell's law.

Furthermore

$$\alpha \approx \frac{y}{s}$$

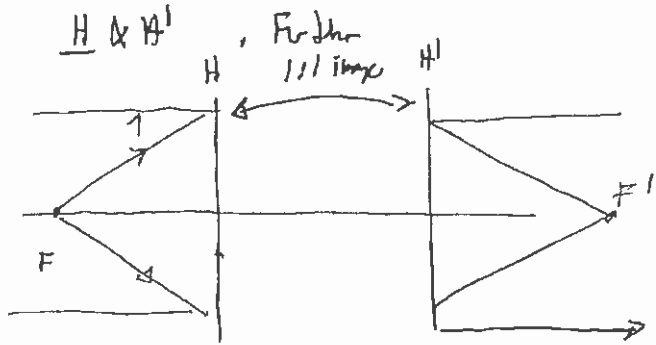
$$\alpha' \approx \frac{y}{s'}$$

} (assumes that rays are optically only valid for rays close to the optic axis (paraxial))

Other assumptions:

- spherical optics give perfect images
- no reflection losses, no scattering losses, no absorption losses
- no diffraction or size of lens/aperture.

1c) Principal planes defined by a unit magnification system



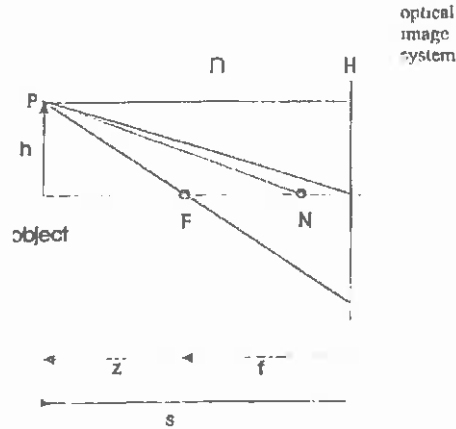
① rays from focal point  $F$  exits  $\parallel$  to optic axis

② rays through focal point  $F'$  enters  $\parallel$  to optic axis

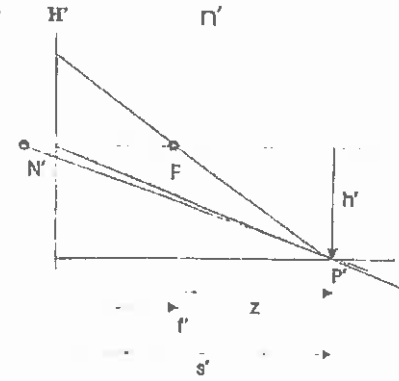
Gaussian lens eqn.  $\frac{1}{s} + \frac{1}{s'} = \frac{1}{f}$  is valid

w.r.t. principal planes  $H$  &  $H'$

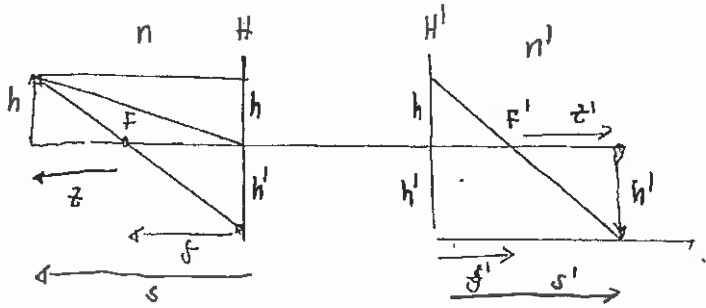
2)



optical image system



1d)



From the figure it is evident that similar triangles are formed. The object size & image size may be used to derive the relationship between  $s', s$  &  $f'$ .

$$\frac{h}{z} = -\frac{h'}{f} \quad , \quad \frac{h}{f'} = -\frac{h'}{z'} \quad (1)$$

hence

$$\frac{h'}{h} = -\frac{f}{z} = -\frac{z'}{f'} \quad \hat{=} \quad \text{transverse magnification } M_t \quad (2)$$

Newton's image equation is given by:

$$ff' = zz' \quad \text{in accordance with the } \text{Appendix.} \quad (3)$$

To obtain the Gaussian image eqn:  $\frac{n}{s} + \frac{n'}{s'} = \frac{n'}{f'}$  (4)  
see Appendix, we set

$$z = (s-f) \quad \& \quad z' = (s'-f') \quad (5)$$

and insert into eqn. (3)

$$\Rightarrow \frac{ff'}{zz'} = (s-f)(s'-f') = ss' - fs' - s'f + ff' = zz' \quad (6)$$

$$\Rightarrow ss' = fs' + s'f$$

$$1 = \frac{f}{s} + \frac{f'}{s'} \quad (6)$$

now we use that  $\frac{n}{f} = \frac{n'}{f'}$ ,  $\Rightarrow f = \frac{n f'}{n'}$  (7)

the latter equation (7) comes from the definition of the principal planes and that repeated application of ray reflects surfaces always gives:  $M_t \cdot M_a = \frac{n}{n'}$

with  $M_t = 1$  between principal planes, we have that

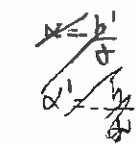
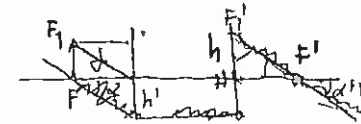
$$M_a = \frac{n}{n'} = \frac{\alpha'}{\alpha}$$

$$\alpha n = n' \alpha'$$

hence  $\frac{n}{fs} = \frac{n'}{f's'}$

$$\Rightarrow 1 = \frac{n f'}{n' s} + \frac{f'}{s'}$$

$$\Rightarrow \frac{n'}{s'} + \frac{n}{s} = \frac{n'}{f'}$$



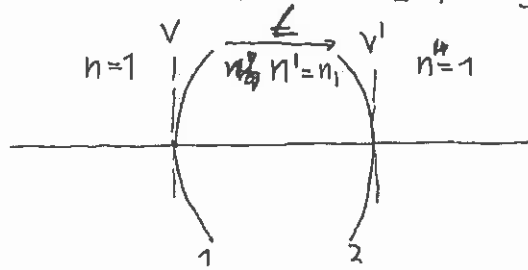
$$-\frac{FF_1}{f} = \alpha$$

$$-\frac{H'F_1}{f'} = \alpha' = -\frac{FF_1}{f}$$

$$M_t = \frac{h'}{h} = \frac{s'}{s} = \frac{n'}{n} \left( \frac{s'-f'}{s-f} \right) = \frac{n'}{n} \left( \frac{s'}{s} - \frac{f'}{s-f} \right)$$

Q2.a/ Prove that for thin lens:

$$p = \frac{1}{f} = (n-1) \left[ \frac{1}{R_1} - \frac{1}{R_2} \right]$$



produce system transfer matrix between V & V'

note 2

$$M_{\text{transfer}} = \begin{bmatrix} 1 & 0 \\ \frac{n_1 - n_1'}{R_1} & \frac{n_1}{n_1'} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ +C_2 & \frac{n_1}{n_1'} \end{bmatrix}$$

$$C_2 = \left( \frac{n_1 - n_1'}{R_1} \right) = \left( \frac{n_1 - 1}{R_1} \right)$$

transfer matrix

$$\begin{bmatrix} 1 & L \\ 0 & 1 \end{bmatrix}$$

note 1

$$\begin{bmatrix} 1 & 0 \\ C_1 & \frac{n_1}{n_1'} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ C_1 & \frac{1}{n_1'} \end{bmatrix}$$

$$C_1 = \left( \frac{1 - n_1'}{R_2} \right)$$

$$M_{VV'} = \begin{bmatrix} 1 & 0 \\ C_1 & \frac{1}{n_1'} \end{bmatrix} \begin{bmatrix} 1 & L \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ C_2 & n_1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ C_1 & \frac{1}{n_1'} \end{bmatrix} \begin{bmatrix} 1 + C_2 L & L n_1 \\ C_2 & n_1 \end{bmatrix}$$

$$\begin{bmatrix} 1 + C_2 L & L n_1 \\ (1 + C_2 L) C_1 + \frac{C_2}{n_1'} & L n_1 C_1 + 1 \end{bmatrix}$$

now for the equation, it is known that the refractive power is given by

$$p = \frac{1}{f} = -C \quad , \text{ see table 18-2,}$$

hence

$$p = - (1 + C_2 L) C_1 + \frac{C_2}{n_1'} \quad , \quad L \rightarrow 0 \text{ for thin lens}$$

$$\Rightarrow -p = C_1 + \frac{C_2}{n_1'} = \left( \frac{1 - n_1'}{R_2} \right) + \left( \frac{n_1 - 1}{R_1 n_1'} \right)$$

$$= \left( \frac{n_1 - 1}{n_1'} \right) \left( -\frac{1}{R_1} + \frac{1}{R_2} \right) \quad , \quad p = \left( \frac{n_1 - 1}{n_1'} \right) \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \text{ Q.E.D.}$$

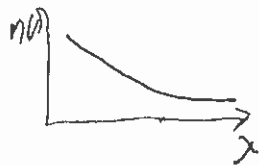
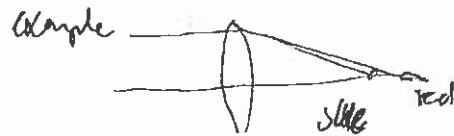
b) It is usual that

$$P = \frac{1}{f} = (n(\lambda) - 1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$$

Dispersion means that  $n(\lambda)$  has a wavelength dependence.

Therefore  $P(\lambda) = \frac{1}{f(\lambda)}$

Typically,  $n(\lambda)$  varies from  $n \sim 1.5$  to  $1.51$  over a few hundred nm's, and causes light of different wavelengths (colors) to have a different focal point. This is ~~called~~ <sup>denoted</sup> chromatic aberration.



2c) This question is similar to question (1), set up

$$M_{\text{net}} = M_{L_2} M_{\text{air}} M_{L_1}$$

$$= \begin{bmatrix} 1 & 0 \\ -P_2 & 1 \end{bmatrix} \begin{bmatrix} 1 & L \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ -P_1 & 1 \end{bmatrix}$$

lenses in air

$$\begin{bmatrix} 1 - LP_1 & L \\ -P_1 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 - LP_1 & L \\ -P_2(1 - LP_1) - P_1 & -P_2L + 1 \end{bmatrix}$$

Using again the results from table 13-2,

then  $\frac{1}{f'} = \frac{P_1 + P_2 - LP_1P_2}{1 - LP_1P_2}$ , where  $f'$  denotes

or  $\frac{1}{f'} = \frac{1}{f_1} + \frac{1}{f_2} - L \frac{1}{f_1 f_2}$

add object plane on image side

$$d) \quad p = P_1 + P_2 - L P_1 P_2$$

In order for the system to be aplanatic, then we simply require that: (see e.g. Pedrotti p. 76.)  
(see I's lecture)

$$\frac{\partial p}{\partial \lambda} = \frac{\partial p}{\partial n} \left( \frac{\partial n}{\partial \lambda} \right) = 0$$

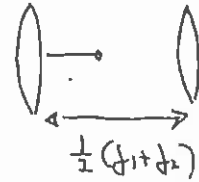
$$\frac{\partial P_1}{\partial \lambda} = \left( \frac{1}{R_{11}} - \frac{1}{R_{12}} \right) \cdot \frac{\partial n}{\partial \lambda} = \frac{1}{(n-1)} P_1 \left( \frac{\partial n}{\partial \lambda} \right)$$

$$\frac{\partial P_2}{\partial \lambda} = \frac{1}{(n-1)} P_2 \frac{\partial n}{\partial \lambda}$$

$$\begin{aligned} \Rightarrow \frac{\partial p}{\partial n} &= \frac{\partial P_1}{\partial n} + \frac{\partial P_2}{\partial n} - \left( \left( \frac{\partial P_1}{\partial n} \right) P_2 + \frac{\partial P_2}{\partial n} P_1 \right) \\ &= \frac{P_1}{(n-1)} + \frac{P_2}{(n-1)} - \frac{L}{(n-1)} \left( \frac{P_1 P_2}{(n-1)} + P_2 P_1 \right) = 0 \end{aligned}$$

$$\begin{aligned} L &= \frac{P_1 + P_2}{2 P_1 P_2} = \frac{1}{f} \left[ \frac{1}{P_2} + \frac{1}{P_1} \right] \\ &= \underline{\underline{\frac{1}{2} (f_2 + f_1)}} \end{aligned}$$

(cont'd) The system is thus aplanatic if the two lenses are separated by  $\frac{1}{2} (d_1 + d_2)$



$$\begin{aligned} \text{We note that } p^2 &= \frac{1}{f_1} + \frac{1}{f_2} - \frac{1}{2} (f_1 + f_2) \frac{1}{f_1 f_2} \\ &= \frac{1}{2} \left( \frac{1}{f_1} + \frac{1}{f_2} \right) = \frac{1}{2} (P_1 + P_2) \end{aligned}$$

If both lenses are positive, then we have a normal imaging system, but we recognise the configuration found from the

- Huygens eye piece

and the

- Ramsden eye piece.

The latter are used in telescopes & microscopes, respectively.

See Pedrotti page 77.

e) Achromatic lens: see J's learning - packet notes, Pedrotti (p. 458)

$$P = P_1 + P_2 - L P_1 P_2, \quad L \rightarrow 0 \text{ for compound lens}$$

$$\frac{\partial P}{\partial \lambda} = \frac{P_1}{(n_1-1)} \frac{dn_1}{d\lambda} + \frac{P_2}{(n_2-1)} \frac{dn_2}{d\lambda} = 0$$

$$\Rightarrow \frac{P_1}{(n_1-1)} \frac{dn_1}{d\lambda} = -\frac{P_2}{(n_2-1)} \frac{dn_2}{d\lambda}$$

$(n_1-1)(n_2-1) > 0$  always for transparent glass in the visible

$\Rightarrow P_2$  must have opposite power to  $P_1$

$$\text{i.e. } \text{sign}(f_1) = -\text{sign}(f_2)$$

For  $P = (P_1 + P_2) > 0$ , then

$$P_1 > |P_2| \quad \text{if } P_1 > 0$$

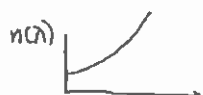
$$\text{or } P_1 = (P - P_2) \Rightarrow \frac{(P - P_2)}{(n_1-1)} \frac{dn_1}{d\lambda} = -\frac{P_2}{(n_2-1)} \frac{dn_2}{d\lambda}$$

$$\text{Let } k_1 = \frac{1}{(n_1-1)} \frac{dn_1}{d\lambda} \quad \text{and} \quad k_2 = \frac{1}{(n_2-1)} \frac{dn_2}{d\lambda}$$

$$\Rightarrow (P - P_2) k_1 = -P_2 k_2$$

$$\left. \begin{array}{l} P_1 + r_e \\ P + r_e \end{array} \right\} P_2 = \frac{P}{(k_1 - k_2)} < 0$$

hence  $k_2 > k_1$

For a <sup>dense</sup> dispersive material  $\frac{dn}{d\lambda}$  is large 

Hence

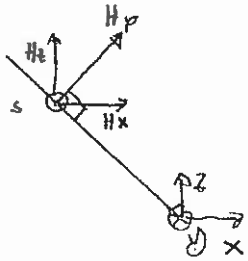
high dispersion,  $-P_2$ ,  $-ve$  focal length (typically crown)



low dispersion (typically flint)

$+P_1$ ,  $+ve$  focal length

11a)  $E(\vec{r}, t) = E_s(\vec{r}, t) \hat{e}_s = E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$



Use Faradays law (Appendix, Maxwell eqs.):  
 $\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad i(\vec{k} \cdot \vec{r} - \omega t)$   
 Assume time harmonic solutions  $\vec{B} = \vec{B}_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)}$

then  $\frac{\partial \vec{B}}{\partial t} = -i\omega \vec{B}$

From Maxwell eqs. (Appendix) or constitutive equations  
 $\mu_0 \vec{H} = \vec{B}$ , where we assume  $\mu = 1$  is approx.

$$\vec{\nabla} \times \vec{E} = \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ \partial_x & \partial_y & \partial_z \\ E_x & E_y & E_z \end{vmatrix} = \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ \partial_x & \partial_y & \partial_z \\ 0 & -E_s & 0 \end{vmatrix}$$

where  $\partial_x \equiv \frac{\partial}{\partial x}$ ,  $\partial_y \equiv \frac{\partial}{\partial y}$ ,  $\partial_z \equiv \frac{\partial}{\partial z}$ ,  $E_s = E_s(\vec{r}, t)$

$$\begin{aligned} \vec{\nabla} \times \vec{E} &= \hat{x} \frac{\partial}{\partial z} E_s - \hat{y} \cdot 0 + \hat{z} \left( -\frac{\partial}{\partial x} E_s \right) \\ &= \hat{x} i k_z E_0 e^{i(\vec{k} \cdot \vec{r} - \omega t)} - \hat{z} i k_x E_s \end{aligned}$$

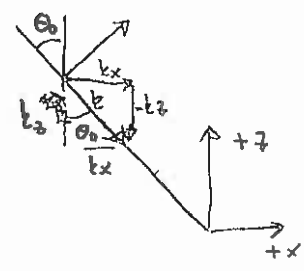
where we have used that  $\vec{k} \cdot \vec{r} = k_x x + k_y y + k_z z$

hence  $i k_z E_s = -i\omega B_{xp} = -i\omega \mu_0 H_{xp}$   
 $-i k_x E_s = -i\omega B_{zp} = -i\omega \mu_0 H_{zp}$   
 $0 = -i\omega B_{yp}$

Hence  $H_{xp} = \frac{1}{\mu_0} \frac{k_z}{\omega} E_s$

$H_{zp} = \frac{1}{\mu_0} \frac{k_x}{\omega} E_s$

$H_{yp} = 0$



$\sin \theta_0 = \frac{k_x}{k}$   
 $\cos \theta_0 = -\frac{k_z}{k}$

$k_x = k \sin \theta_0$

$k_z = -k \cos \theta_0$

$\Rightarrow H_{xp} = \frac{1}{\mu_0} \frac{k}{\omega} \sin \theta_0 E_s$

$H_{yp} = 0$

$H_{zp} = \frac{1}{\mu_0} \frac{k}{\omega} \cos \theta_0 E_s$

$\vec{H}_p(\vec{r}, t) = \frac{1}{\mu_0} \frac{k}{\omega} \left[ \sin \theta_0 \hat{x} + \cos \theta_0 \hat{z} \right] e^{i(\vec{k} \cdot \vec{r} - \omega t)}$



also note that

$$|\vec{H}_p| = H_{op} = \frac{1}{\mu_0} \frac{1}{v} E_0 \hat{s}$$

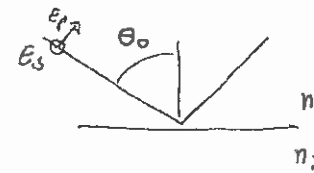
and that  $\vec{H}_p(\vec{r}, t) = \frac{1}{\mu_0} \frac{k}{\omega} (\hat{s} \times \vec{E}_s(\vec{r}, t))$   
 or  $\vec{B}_p = \frac{1}{v} (\hat{s} \times \vec{E}_s)$

where  $\hat{s} = \frac{\vec{k}}{k} = (\sin\theta_0, 0, -\cos\theta_0)$

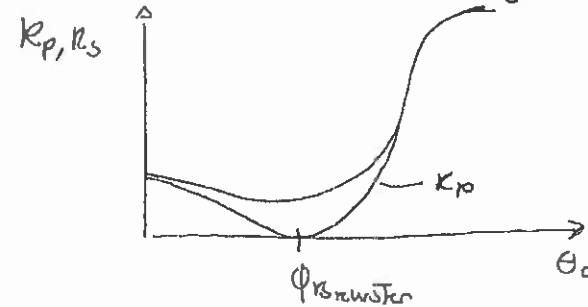
i.e.  $(\vec{H}_p \perp \vec{E}_s \perp \vec{k})$

131b)

i) Polarization by reflection from a plane interface surface; at the Brewster angle.



e.g. Air  
water



at  $\theta_0 = \phi_{\text{Brewster}}$ , then  $|r_p|^2 = R_p = 0$

hence only s-polarized light is reflected, i.e.

linearly polarized light

$$\tan(\phi_{\text{Brewster}}) = \frac{n_1}{n_2}$$

~~\_\_\_\_\_~~

ii) Oriented polymer chains with an absorption that depends on the orientation of the electric field.

Also commonly known as "dichroic" polarizers:

Common filters, sunglasses etc.

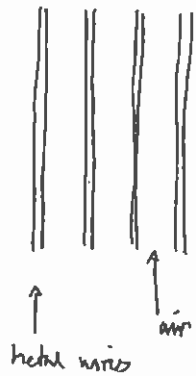


e.g.

(absorb) when E-field  $\parallel$  to chain light

~~transmit~~ transmit light when E field  $\perp$  to chain

iii) Metallic wire-grid polarizers



absorb <sup>light when</sup> field  $\parallel$  to wires (conduction electrons oscillate with field and make as metallic reflector)  
transmit light when E-field  $\perp$  to wires

iv) Prism-cube like polarizers composed of isotropic and/or anisotropic medium with different crystal orientation. Also known as birefringent polarizers (Hecht)

E.g. Glan Thompson polarizer

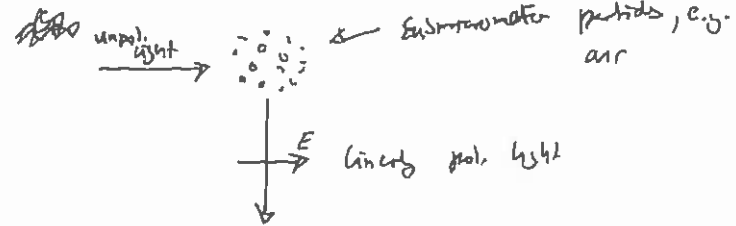
Rochon Polarizer

Wollaston prism

See Pedrotti page 362-363, Figure

15-15; 15-16. See Hecht page 344, 345

v) Polarization by scattering from particles



This is why you get better contrast looking at the sky with polarized "shades" (sunglasses)

④

retardance

$$\delta = \delta_e - \delta_o$$

$$= \frac{2\pi}{\lambda} (n_e - n_o) d$$

$$T = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\delta} \end{bmatrix}$$

$$\delta = \pi \Rightarrow \text{half wave plate}$$

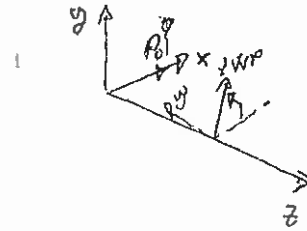
$$\delta = \frac{\pi}{2} \Rightarrow \text{quarter wave plate}$$

$$\delta_{HW} = \pi = \frac{2\pi}{\lambda} (n_e - n_o) d$$

$$d = \frac{\lambda}{2(n_e - n_o)} = 3 \times 10^4 \text{ nm} = 30 \mu\text{m}$$

$$\delta_{QW} \cdot d = \frac{\lambda}{4(n_e - n_o)} = 15 \mu\text{m}$$

B1. d)



$$T_{WP}^{z \rightarrow x'y'} = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\delta} \end{bmatrix}$$

Output Jones vector in  $x', y'$  system

$$\vec{J}_{x', y'} = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\delta} \end{bmatrix} \bar{R}(45) \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$


$$J_{x, y} = \bar{R}(-45) \vec{J}_{x', y'} R(45) \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

From Hecht Appendix

$$R(45) = \begin{bmatrix} \cos 45 & -\sin 45 \\ \sin 45 & \cos 45 \end{bmatrix} = \begin{bmatrix} \frac{\sqrt{2}}{2} & -\frac{\sqrt{2}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{bmatrix} = \frac{\sqrt{2}}{2} \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}$$

$$R(-45) = \begin{bmatrix} \frac{\sqrt{2}}{2} & +\frac{\sqrt{2}}{2} \\ -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{bmatrix} = \frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix}$$

$\delta = \frac{3\pi}{2}$ , which is  $\pi$  away from  $\pi$  to  
 with a CW phase

$$e^{i\delta} = \cos\left(\frac{3\pi}{2}\right) + i\sin\left(\frac{3\pi}{2}\right)$$


$$= 0 - i$$

$$\bar{J}_{x,y}(\delta) = \frac{1}{2} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & e^{i\delta} \end{bmatrix} \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} 1 & e^{i\delta} \\ -1 & e^{i\delta} \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 + e^{i\delta} \\ -1 + e^{i\delta} \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 - i \\ -1 - i \end{bmatrix}$$

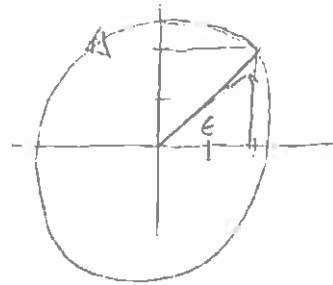
$$\bar{J}_{x,y}(\delta = \frac{3\pi}{2}) = \frac{1}{2} \begin{bmatrix} 1 + -i \\ -1 - i \end{bmatrix} = \frac{1}{2}(1-i) \begin{bmatrix} 1 \\ \frac{-1-i}{1-i} \end{bmatrix}$$

$$\frac{-1-i}{1-i} = \frac{(-1-i)(1+i)}{(1-i)(1+i)} = \frac{-1-i-i+1}{1^2+1^2}$$

$$= \frac{-2i}{2} = -i$$

$$\bar{J}_{x,y} = \begin{bmatrix} 1 \\ -i \end{bmatrix} \Rightarrow = \begin{bmatrix} 1 \\ i \tan\theta \end{bmatrix}$$

$$\tan\theta = \frac{E_{0y}}{E_{0x}} = -1$$



with the convention in  
 the appendix, this is  
 a left circular  
 polarization state.



$$4\pi \frac{d_{\text{film}}}{\lambda} n_{\text{film}} (\theta_{x_{i+1}} - \theta_{x_i}) \approx 2\pi$$

$$d_{\text{film}} \approx \frac{\lambda}{4 n_{\text{film}}} \cdot \frac{1}{(\theta_{x_{i+1}} - \theta_{x_i})}$$

$$d_{\text{film}} \approx \frac{1.5406 \text{ \AA}}{2 \cdot (1 - 1.13 \times 10^{-5}) (4.8 - 3.9) \left(\frac{\pi}{180}\right)}$$

$$\approx 49 \text{ \AA}$$

~~Which is~~

More exact solution can be found from adding into:

$$d_{\text{film}} = \frac{\lambda}{2 n_{\text{film}} \cdot \left[ \left(1 - \frac{1}{n_{\text{film}}^2} \cos^2 \theta_{x_i}\right)^{\frac{1}{2}} - \left(1 - \frac{1}{n_{\text{film}}^2} \cos^2 \theta_{x_{i+1}}\right)^{\frac{1}{2}} \right]}$$

$$= \frac{\lambda}{2 \left[ (n_f^2 - \cos^2 \theta_{x_i})^{\frac{1}{2}} - (n_f^2 - \cos^2 \theta_{x_{i+1}})^{\frac{1}{2}} \right]}$$

b3c) We observe that  $n_{\text{film}} < n_{\text{air}}$   
 (note that absorption of the order  $k \sim 10^{-7}$  has been neglected)

$\Rightarrow$  total internal reflection in air

This happens for

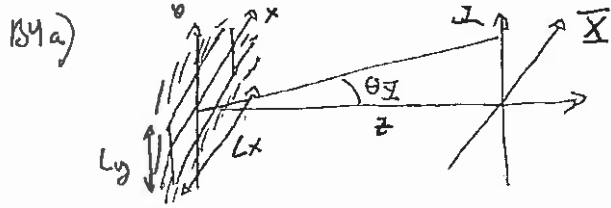
$$n_{\text{air}} \sin \theta_{\text{air}} = n_f \sin \theta_f \quad \theta_f = 90^\circ = n_f$$

$$\sin \theta_{\text{air}}^{\text{critical}} = \frac{n_f}{n_{\text{air}}}$$

$$\theta_{\text{air}}^{\text{critical}} = \sin^{-1} \left( \frac{n_f}{n_{\text{air}}} \right) \approx \frac{n_f}{n_{\text{air}}}$$

$$\theta_x = 90 - \frac{n_f}{n_{\text{air}}} \cdot \frac{\pi}{2}$$

$\theta_x^{\text{critical/cut-off}} = 0.2724^\circ$ , in good agreement with the figure.



Eqn. (1) may be obtained to be a Fourier transform thro a projector, giving

$$V(\bar{X}, \bar{Y}, z) = \frac{V_0^-(x, y, 0)}{i\lambda z} e^{ikz} e^{ik\frac{(\bar{X}^2 + \bar{Y}^2)}{2z}} \mathcal{F}\{t(x, y)\}$$

where  $\mathcal{F}\{t(x, y)\} = \mathcal{F}\left\{\text{rect}\left(\frac{x}{L_x}, \frac{y}{L_y}\right)\right\}$

$\text{rect}\left(\frac{x}{L_x}, \frac{y}{L_y}\right) = \text{rect}\left(\frac{x}{L_x}\right) \cdot \text{rect}\left(\frac{y}{L_y}\right)$ , (separate functions)

From the appendix we find

$$\mathcal{F}\left\{\text{rect}\left(\frac{x}{L_x}\right)\right\} = L_x \text{sinc}\left(\frac{k\bar{X}L_x}{2}\right)$$

recall definition of Fourier Transform:

$$\mathcal{F}\left\{\text{rect}\left(\frac{x}{L_x}\right)\right\} = \int_{-\infty}^{\infty} \text{rect}\left(\frac{x}{L_x}\right) e^{-ik\bar{X}x} dx$$

Fourier space variable

The Fourier space variables  $k\bar{X} \approx \frac{k\bar{X}}{z}$ ,  $k\bar{Y} \approx \frac{k\bar{Y}}{z}$ , generally.

Hence

$$\mathcal{F}\{t(x, y)\} = L_x L_y \text{sinc}\left(\frac{k\bar{X}L_x}{2}\right) \text{sinc}\left(\frac{k\bar{Y}L_y}{2}\right)$$

The intensity, or irradiance, is given by:

$$\langle I \rangle = \frac{1}{2} \epsilon_0 c |V(\bar{X}, \bar{Y}, z)|^2 = \frac{1}{2} \epsilon_0 c V(\bar{X}, \bar{Y}, z) \cdot V(\bar{X}, \bar{Y}, z)^*$$

where \* denotes the complex conjugate.

Fraunhofer diffraction integral is given by the appendix as:

$$1) V(\bar{X}, \bar{Y}, z) = \frac{V_0^-(x, y, 0)}{i\lambda z} e^{ikz} e^{ik\frac{(\bar{X}^2 + \bar{Y}^2)}{2z}} \iint_{-\infty}^{\infty} t(x, y) e^{-i(k\bar{X}x + k\bar{Y}y)} dx dy$$

where we have introduced the transmission function for the aperture  $t(x, y)$  defined by:

$$t(x, y) = \frac{V_0^+(x, y, 0)}{V_0^-(x, y, 0)} \quad (2)$$

where  $V_0^-(x, y, 0)$  is the field amplitude incident on the aperture.

$$(3) t(x, y) = \text{rect}\left(\frac{x}{L_x}, \frac{y}{L_y}\right) = \begin{cases} 1 & |x| < \frac{L_x}{2} \text{ and } |y| < \frac{L_y}{2} \\ 0 & \text{elsewhere} \end{cases}$$

Hence the intensity is given as

$$\langle I \rangle(x, y, z) = \frac{I_0}{\lambda^2 z^2} L_x^2 L_y^2 \text{sinc}^2\left(\frac{kx L_x}{2z}\right) \text{sinc}^2\left(\frac{ky L_y}{2z}\right)$$

where  $I_0 = (U_0(x, y, 0))^2 \cdot \frac{1}{2} \epsilon_0 c$

(B4b) The validity of the Fraunhofer approximation, with respect to the Fresnel approximation, is easily seen by observing the difference between the two, see Appendix. We see that if

(+)  $e^{\frac{ik}{2z}(x^2+y^2)} \rightarrow 1$ , then the Fraunhofer approximation holds.

The maximum of (+) is for  $\frac{k}{2z}(L_x^2 + L_y^2)$

We thus require that

$$\frac{k}{2z}(L_x^2 + L_y^2) \ll 1$$

i.e.  $\frac{\pi}{\lambda}(L_x^2 + L_y^2) \ll 1$

$$= \frac{\pi \left( (20 \times 10^3)^2 + (40 \times 10^3)^2 \right) \text{ [nm}^2\text{]}}{633 \cdot 2 \times 10^9 \text{ [nm}^2\text{]}}$$

$= 0.005 \ll 1$ . Appears to be OK

$$(e=c) \quad I(x, y, z) = \frac{I_0}{\lambda^2 z^2} \frac{\text{sinc}^2\left(\frac{(2M+1)kx L_x}{2z}\right)}{\text{sinc}^2\left(\frac{kx L_x}{2z}\right)} \frac{\text{sinc}^2\left(\frac{ky L_y}{2z}\right)}{\text{sinc}^2\left(\frac{ky L_y}{2z}\right)}$$

$$I(0, y, z) = I(0, 0, z) \cdot \text{sinc}^2\left(\frac{ky L_y}{2z}\right)$$

$$\text{sinc}^2 \equiv \frac{\text{sinc}^2\left(\frac{(2M+1)kx L_x}{2z}\right)}{\text{sinc}^2\left(\frac{kx L_x}{2z}\right)}$$

$$\text{sinc}^2 \rightarrow (2M+1)^2 \text{ as } kx L_x = m\pi, \text{ where } m=0, \pm 1, \pm 2$$

$$kx L_x \approx \frac{2\pi}{\lambda} \frac{y}{z} L_x = m\pi$$

$$\Leftrightarrow y = \frac{z}{L_x} \left(\frac{m\lambda}{2}\right)$$

$$\text{sinc}^2\left(\frac{ky L_y}{2z}\right) = 0 \text{ for } \frac{2\pi}{\lambda} \frac{y}{z} \frac{L_y}{2} = q\pi$$

$$\Leftrightarrow y = q \frac{\lambda z}{L_y}$$



$\Rightarrow$  e. Winkel.

