

Department of physics

## Examination paper for TFY4220 Solid State Physics

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### Other information

*All the subtasks a), b), ... are given equal weight.***Language:** English**Number of pages (front page excluded):** 3**Number of pages enclosed (“vedlegg”):** 1**Informasjon om trykking av eksamensoppgave****Originalen er:**1-sidig  2-sidig sort/kvit  farger Skal ha flervalgsskjema **Checked by:**\_\_\_\_\_  
Date Signature

**NB! Attachment.**

**Problem 1**

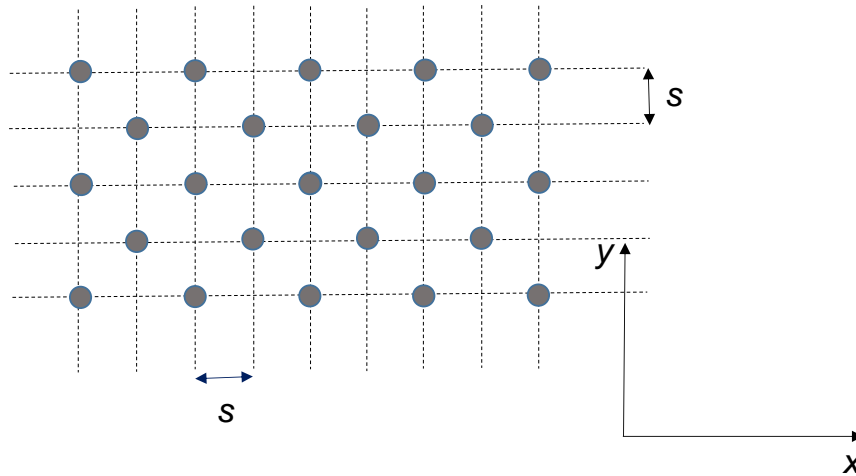


Figure 1.

Figure 1 shows a hypothetical crystal consisting of atoms arranged on a square grid in 2D.  $s$  denotes the lattice plane spacing in the  $x$  and  $y$  directions.

- What is a primitive cell? Indicate an example of a primitive unit cell in the arrangement shown in Fig. 1. Write down the basis vectors  $\mathbf{a}_1$ ,  $\mathbf{a}_2$  of the primitive cell, expressed by  $s$ ,  $\mathbf{i}$  and  $\mathbf{j}$ , where  $\mathbf{i}$  and  $\mathbf{j}$  denote unit vectors in the  $x$  and  $y$  directions.
- Define mathematically the 2-dimensional "reciprocal lattice" for the lattice of Fig. 1.  
*Hint:* A plane normal of length unity can be employed for convenience.  
  
Explain the connection of the reciprocal lattice to Bragg diffraction (Laue conditions).
- Sketch the 2-dimensional reciprocal lattice and the first Brillouin zone for the lattice in Fig. 1. How is this zone related to Bragg diffraction?
- State and explain Bloch's theorem. Comment shortly on the choice of boundary conditions.

**Problem 2**

A beam of electrons with kinetic energy 1.0 keV is diffracted by a polycrystalline metal foil. The metal has a simple cubic crystal structure with a lattice constant of  $1.0 \text{ \AA}$ . Given  $m$ ,  $q$ ,  $h$ ,  $c$ .

- Calculate the wavelength of the electron beam.  
Calculate the Bragg angle for the first order diffraction maximum.
- Explain briefly the difference between dark field and bright field imaging in transmission electron microscopy (TEM). What is *diffraction contrast*?

**Problem 3**

Harmonic lattice vibrations for a 1-dimensional chain with a 2-atom basis has the following dispersion relation:

$$\omega^2 = \gamma \left( \frac{1}{M_1} + \frac{1}{M_2} \right) \pm \gamma \sqrt{\left( \frac{1}{M_1} + \frac{1}{M_2} \right)^2 - \frac{4 \sin^2 \left( \frac{kb}{2} \right)}{M_1 M_2}}$$

Here  $\gamma$  is the effective spring constant,  $M_1$  and  $M_2$  the two masses, and  $k$  is the wave number. The distance between the masses is  $b/2$  such that  $b$  is the repetition distance.

- Sketch the dispersion relation. Indicate acoustic and/or optical branches. Are all  $k$ -values of equal interest?
- How is the dispersion relation changed under the assumption that the crystal has a finite number of unit cells  $N$ ? Assume periodic boundary conditions.
- Sketch qualitatively the density of states  $D$  as function of  $\omega$ . Sketch also the group velocity  $v_g$  as function of  $k$ .
- Let  $M_1 = M_2$ . What is the dispersion relation in this case, and what is the relation between this expression and the dispersion relation for a 1-atom basis?  
*Hint:* Sketch both dispersion relations in the same figure.
- Derive Dulong-Petit's classical expression for the heat capacity of a crystal. Then write down an integral expression for the internal energy  $U(T)$  for the phonon modes in a crystal with density of states  $D(\omega)$ .

**Problem 4**

- a) Free-electron-model for a three-dimensional system: Show that the highest occupied energy at  $T = 0$  level for  $N$  free electrons in a volume  $V$  can be written

$$E(N) = A \left( 3\pi^2 \frac{N}{V} \right)^{2/3},$$

where  $A$  is a constant. Find an expression for  $A$ .

- b) Use the expression from a) to argue for the following expression for the "density of states» for conduction electrons in metals:

$$D(E) = \frac{V}{2\pi^2} \left( \frac{2m^*}{\hbar^2} \right)^{3/2} (E - E_0)^{1/2}.$$

Then show by integrating over an energy interval  $\Delta E$  that the effective mass  $m^*$  is given by the expression

$$m^* = \frac{\hbar^2}{2\Delta E} (3\pi^2 N/V)^{2/3}.$$

Sodium forms a body-centered cubic lattice with lattice constant  $a = 4.25 \text{ \AA}$ . Sodium is an alkali metal (1<sup>st</sup> column of the periodic table).

- c) Why is it a better assumption for sodium than for most other metals that the conduction electrons can be described by a free-electron-model? Experimentally one can measure the energy range  $\Delta E$  of the conduction electrons, and one finds  $\Delta E = 3.0 \text{ eV}$ . Calculate the effective mass  $m^*$  for conduction electrons in sodium!

## Attachment

Some expressions and constants that may prove useful:

### Physical constants

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One mole: $M(^{12}\text{C}) = 12 \text{ g}$	$1 \text{ u} = 1.6605 \cdot 10^{-27} \text{ kg}$	$N_A = 6.0221 \cdot 10^{23} \text{ mol}^{-1}$
$k_B = 1.3807 \cdot 10^{-23} \text{ J/K}$	$R = N_A k_B = 8.3145 \text{ J mol}^{-1} \text{ K}^{-1}$	$0^\circ\text{C} = 273.15 \text{ K}$
$\epsilon_0 = 8.8542 \cdot 10^{-12} \text{ C}^2/\text{Nm}^2$	$\mu_0 = 4\pi \cdot 10^{-7} \text{ N/A}^2$	
$e = 1.6022 \cdot 10^{-19} \text{ C}$	$m_e = 9.1094 \cdot 10^{-31} \text{ kg}$	
$c = 2.998 \cdot 10^8 \text{ m/s}$	$h = 6.6261 \cdot 10^{-34} \text{ Js}$	$g = 9.81 \text{ m/s}^2$

Mean occupation number for fermions

$$f(E) = \frac{1}{e^{(E-\mu)/k_B T} + 1}$$

Mean occupation number for bosons

$$f(\omega) = \frac{1}{e^{\hbar\omega/k_B T} - 1}$$

Density of states for free electrons ( $E(k) = E_0 + \hbar^2 k^2 / 2m$ ):

$$D(E) = \frac{V}{2\pi^2} \left( \frac{2m}{\hbar^2} \right)^{\frac{3}{2}} (E - E_0)^{\frac{1}{2}}$$

Phonon dispersion relation for a one-atomic basis:

$$\omega^2 = \frac{4\alpha}{M} \sin^2 \frac{ka}{2}$$