

### 14.4 Mixing matrices.

The mixing matrices  $U$  are unitary,  $UU^\dagger = 1$ , and contain therefore  $2n^2 - n^2$  real parameters. Because of  $\omega_{ij} = -\omega_{ji}$  for a rotation,  $n(n-1)/2$  parameters are angles. The remaining

$$n^2 - \frac{n(n-1)}{2} = \frac{n(n+1)}{2}$$

parameters are thus phases.

Not all phases are physical: We can reparametrise Dirac fermions,  $\psi_i \rightarrow e^{i\vartheta_i}\psi_i$ . These U(1) transformations keep the QED and the QCD part of the SM Lagrangian invariant, since they are flavour blind. Mass terms are invariant, because we transform  $L$  and  $R$ -fields in the same way. Thus the U(1) transformations affect only the mixing CKM matrix,

$$U \rightarrow \begin{pmatrix} e^{-i\vartheta_1} & & \\ & \cdots & \\ & & e^{-i\vartheta_n} \end{pmatrix} U \begin{pmatrix} e^{i\vartheta_{n+1}} & & \\ & \cdots & \\ & & e^{i\vartheta_{2n}} \end{pmatrix}$$

If we change all quarks by the same phase  $\vartheta$ , the mixing matrix remains invariant. Thus altogether we can eliminate only  $2n - 1$  phases. This leaves

$$N = \frac{n(n+1)}{2} - 2n + 1 = \frac{(n-1)(n+2)}{2}$$

physical phases:  $N = 0$  for  $n = 2$ , and  $N = 1$  for  $n = 3$ . Thus CP violation in the quark sector requires 3 generations.

If neutrinos are massive Dirac fermions, no difference to the quark sector arises. If they are however Majorana fermions, then there's no corresponding U(1) symmetry. We can thus eliminate only  $n$  phases, leaving

$$N = \frac{n(n+1)}{2} - n = \frac{n^2 - n}{2}$$

as physical phases, i.e.  $N = 1$  for  $n = 2$ , and  $N = 3$  for  $n = 3$ . Thus for  $n = 3$  one can distinguish a Dirac phase and two Majorana phases. The latter two show up in physical observables only, if lepton number is violated (i.e. in  $0\beta\beta$  decay, but not in neutrino oscillations).